



Section 1

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/851,226
	Filing Date	May 8, 2001
	First Named Inventor	Jeffrey G. Weers
	Group Art Unit	
	Examiner Name	Unassigned
Total Number of Pages in This Submission	1	Attorney Docket Number 0073.00

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Executed Declarations Copy of Notice to File Missing Parts First Class Mail Certificate
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael J. Rafa; Inhale Therapeutic Systems, Inc.
Signature	<i>Michael J. Rafa</i>
Date	9/19/01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 09/19/2001	
Typed or printed name	Karen J. Moir
Signature	<i>Karen J. Moir</i>
Date	9/19/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#3

Practitioner's Docket No. 0073.00

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: WEERS, Jeffry G.; TARARA, Thomas E.; DELLAMARY, Luis A.; RIESS, Jean G.; and SCHUTT, Ernest G.

Application No.: 09/851,226

Group No.: Unassigned

Filed: 05/08/2001

Examiner: Unassigned

For: PHOSPHOLIPID-BASED POWDERS FOR INHALATION

**Assistant Commissioner for Patents
Washington, D.C. 20231**

CERTIFICATE OF MAILING UNDER 37 C.F.R. section 1.8(a)

I hereby certify that the attached correspondence comprising:

Transmittal Form; Completion of Filing Requirements Form; Copy of Notice to File Missing Parts of Nonprovisional Application; ⁴6 Declarations; First Class Mail Certificate.

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

on Sep. 19, 2001.

Karen J. Moir

Signature of person mailing paper



Applicant's Docket No. 0073.00

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: WEERS, Jeffrey G.; TARARA, Thomas E.; DELLAMARY, Luis A.; RIESS, Jean G.; and SCHUTT, Ernest G.

Application No.: 09/851,226

Group No.: Unassigned

Filed: May 8, 2001

Examiner: Unassigned

For: PHOSPHOLIPID-BASED POWDERS FOR INHALATION

Box Missing Part

Assistant Commissioner for Patents

Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed July 2, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

COMPLETION FEES

III.

1. Surcharge Fees

Late payment of filing fee
and/or late filing of original
declaration or oath

(37 C.F.R. Section 1.16(e))

\$ 130.00

Total Completion Fees \$ 130.00

EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(1), for one month.

Fee	\$110.00
-----	----------

If an additional extension of time is required, please consider this a petition therefor.

TOTAL FEE DUE

V. The total fee due is:

Completion fees	\$ 130.00
Extension fee (if any)	\$ 110.00
 Total Fee Due	 \$ 240.00

PAYMENT OF FEES

VI. Charge Account No. 500348 in the amount of \$240.00.

A duplicate of this request is attached.

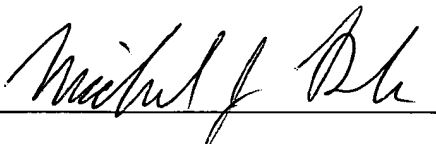
Please charge Account No. 500348 for any fees that may be due by this paper.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 500348.

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))



Michael J. Rafa
Registration No. 38,740
Inhale Therapeutic Systems, Inc.
150 Industrial Road
San Carlos, CA 94070
650-631-3100
Customer No. 21968



Addendum

Attachment 1

Half Moon Bay

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/851,226	05/08/2001	Jeffrey G. Weers	0073.00

21968
INHALE THERAPEUTIC SYSTEMS, INC.
150 INDUSTRIAL ROAD
SAN CARLOS, CA 94070



CONFIRMATION NO. 4017

FORMALITIES LETTER



OC000000006246549

Date Mailed: 07/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/29/2001 SSALEEKU 00000009 500348 09851226

01 FC:105 130.00 CH